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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER <div style="text-align: right;">516/12</div> |
| INTERNATIONAL APPLICATION NO. PCT/IB2005/000543 | | INTERNATIONAL FILING DATE 03 March 2005 |
| TITLE OF INVENTION Catheter for Aspirating, Fragmenting and Removing Material | | PRIORITY DATE CLAIMED 04 March 2004 |
| APPLICANT(S) FOR DO/EO/US Immanuel Straub | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | |
| 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | |
| 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | |
| 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). | | |
| 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | |
| a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). | | |
| b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. | | |
| c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). | | |
| 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | |
| a. <input checked="" type="checkbox"/> is attached hereto. (including 18 drawings) | | |
| b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). | | |
| 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | |
| a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). | | |
| b. <input type="checkbox"/> have been communicated by the International Bureau. | | |
| c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. | | |
| d. <input type="checkbox"/> have not been made and will not be made. | | |
| 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | |
| 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | |
| 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | |
| 13. <input checked="" type="checkbox"/> A preliminary amendment. | | |
| 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. | | |
| 15. <input checked="" type="checkbox"/> A substitute specification. (including Marked-Up and Clean Versions) | | |
| 16. <input type="checkbox"/> A power of attorney and/or change of address letter. | | |
| 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | |
| 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | |
| 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | |

| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/591 100 | INTERNATIONAL APPLICATION NO. PCT/IB2005/000543 | ATTORNEY'S DOCKET NUMBER 516/12 | | | | | | | | | | | | | | | | | | |
|--|--|--|---|--------------|--------------|-----------|---|---------|--|---------|----|---------|--|--|--|---------|--|--|----|--|
| 20. Other items or information: A copy of the Title Page of the International Published Application and a completed Credit Card form. | | | | | | | | | | | | | | | | | | | | |
| The following fees have been submitted | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> </table> | CALCULATIONS | PTO USE ONLY | | | | | | | | | | | | | | | | |
| CALCULATIONS | PTO USE ONLY | | | | | | | | | | | | | | | | | | | |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 300.00</td> <td style="width: 40%;"></td> </tr> </table> | \$ 300.00 | | | | | | | | | | | | | | | | | |
| \$ 300.00 | | | | | | | | | | | | | | | | | | | | |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200 | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 200.00</td> <td style="width: 40%;"></td> </tr> </table> | \$ 200.00 | | | | | | | | | | | | | | | | | |
| \$ 200.00 | | | | | | | | | | | | | | | | | | | | |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500 | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 500.00</td> <td style="width: 40%;"></td> </tr> </table> | \$ 500.00 | | | | | | | | | | | | | | | | | |
| \$ 500.00 | | | | | | | | | | | | | | | | | | | | |
| TOTAL OF 21, 22 and 23 = | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$1,000.00</td> <td style="width: 40%;"></td> </tr> </table> | \$1,000.00 | | | | | | | | | | | | | | | | | |
| \$1,000.00 | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 40%;"></td> </tr> </table> | | | | | | | | | | | | | | | | | | |
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| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Total Sheets</th> <th style="width: 20%;">Extra Sheets</th> <th style="width: 40%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 20%;">RATE</th> </tr> <tr> <td>- 100 =</td> <td>/50 =</td> <td></td> <td>x \$250</td> </tr> </table> | Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | - 100 = | /50 = | | x \$250 | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table> | | \$ | | | | | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | | | | | | | | | | | | | | | | |
| - 100 = | /50 = | | x \$250 | | | | | | | | | | | | | | | | | |
| \$ | | | | | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table> | \$ | | | | | | | | | | | | | | | | | |
| \$ | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>25 - 20 =</td> <td>5</td> <td>x \$50</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>x \$200</td> </tr> <tr> <td colspan="3"></td> <td>+ \$360</td> </tr> </table> | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 25 - 20 = | 5 | x \$50 | Independent claims | 3 - 3 = | 0 | x \$200 | | | | + \$360 | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table> | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | | | | | | | |
| Total claims | 25 - 20 = | 5 | x \$50 | | | | | | | | | | | | | | | | | |
| Independent claims | 3 - 3 = | 0 | x \$200 | | | | | | | | | | | | | | | | | |
| | | | + \$360 | | | | | | | | | | | | | | | | | |
| \$ | | | | | | | | | | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table> | \$ | | | | | | | | | | | | | | | | | |
| \$ | | | | | | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 1,250.00</td> <td style="width: 40%;"></td> </tr> </table> | \$ 1,250.00 | | | | | | | | | | | | | | | | | |
| \$ 1,250.00 | | | | | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 40%;"></td> </tr> </table> | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL = | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 625.00</td> <td style="width: 40%;"></td> </tr> </table> | \$ 625.00 | | | | | | | | | | | | | | | | | |
| \$ 625.00 | | | | | | | | | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table> | \$ | | | | | | | | | | | | | | | | | |
| \$ | | | | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 625.00</td> <td style="width: 40%;"></td> </tr> </table> | \$ 625.00 | | | | | | | | | | | | | | | | | |
| \$ 625.00 | | | | | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table> | \$ | | | | | | | | | | | | | | | | | |
| \$ | | | | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 625.00</td> <td style="width: 40%;"></td> </tr> </table> | \$ 625.00 | | | | | | | | | | | | | | | | | |
| \$ 625.00 | | | | | | | | | | | | | | | | | | | | |
| | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be refunded:</td> <td style="width: 40%;">\$</td> </tr> </table> | Amount to be refunded: | \$ | | | | | | | | | | | | | | | | |
| Amount to be refunded: | \$ | | | | | | | | | | | | | | | | | | | |
| | | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be charged</td> <td style="width: 40%;">\$</td> </tr> </table> | Amount to be charged | \$ | | | | | | | | | | | | | | | | |
| Amount to be charged | \$ | | | | | | | | | | | | | | | | | | | |

10/591100
IAP9 Rec'd PCT/PTO 30 AUG 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0223. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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900 Route 9 North, Suite 504

Woodbridge, New Jersey 07095

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SIGNATURE

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NAME

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COUNSELORS AT LAW

AP9 Rec'd PCT/PTO 30 AUG 2006

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EV739151368US

August 30, 2006

VIA EXPRESS MAIL

Mail Stop: PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Re: Applicant: Immanuel Straub
Title of Invention: CATHETER FOR ASPIRATING,
FRAGMENTING AND REMOVING MATERIAL
International Application No.: PCT/IB2005/000543
International Filing Date: 03 March 2005
Attorney Docket No.: 516/12

Dear Sir:

Submitted herewith are documents constituting a U.S. Patent Application under 35 U.S.C. § 371. The documents include:

1. A Transmittal Letter expressly requesting to begin national examination procedures;
2. A literal translation of the International Application, as filed, into English (including drawings) and translation verification;
3. A copy of the Title Page of the published International Application, publication WO 2005/084562 A2
4. A Preliminary Amendment (including clean and marked-up versions of the substitute specification);
5. Application Data Sheet;
6. An Information Disclosure Statement, PTO/SB/08A form and cited references;
7. A self addressed, postage prepaid return postcard; and
8. Completed Credit Card form.

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NEW YORK OFFICE:

305 MADISON AVENUE, SUITE 449, NEW YORK, NEW YORK 10165, TEL: (212) 807-4171, FAX: (212) 957-1912

Commissioner for Patents

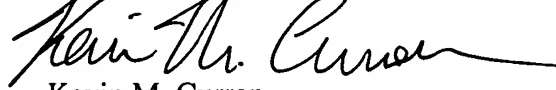
August 30, 2006

Page 2

The Commissioner is authorized to charge any additional fees which may be required, or to credit any overpayment to our Deposit Account No. 11-0223.

Very truly yours,

KAPLAN GILMAN GIBSON & DERNIER LLP



Kevin M. Curran

kcurran@kggd.com

Reg. No. 43,571

KMC/pa

Enclosures

F:\Clients\Patent\Buro Paul Rosenich-516\516-12\516-12; USUS; Catheter_Cover Letter Ccn of Express mail.doc